

### BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Order Instituting Rulemaking to establish the California Institute for Climate Solutions

Rulemaking 07-09-008

REPLY COMMENTS OF THE CALIFORNIA COUNCIL ON SCIENCE AND TECHNOLOGY (CCST) ON THE RULEMAKING TO CONSIDER ESTABLISHING THE CALIFORNIA INSTITUTE FOR CLIMATE SOLUTIONS

Susan Hackwood Executive Director

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November 19, 2007

The California Council on Science and Technology (CCST) respectfully submits its reply to submitted comments on the establishment of the California Institute for Climate Solutions (CICS) that would involve a consortium of major research institutions in the state. Our comments focus on three areas: development and review of CICS' research roadmap; a biennial external review of CICS; and governance and management.

CCST is a nonpartisan, impartial, not-for-profit corporation established in 1988 by state legislation. Its mission is to provide expert advice to the state about science and technology related public policy issues. CCST is modeled in part on the National Academies' National Research Council, with whom it has developed a close working relationship. More than half of CCST's members and fellows are also members of the National Academies of Sciences and Engineering and Institute of Medicine, and several are Nobel Laureates. CCST's membership includes all of the major academic research institutions in the state, as well as the six largest federal laboratories housed here, and many industry sectors, such as aerospace, medicine/health/bioscience, systems infrastructure, communications and information technology, and energy. Half of CCST Council members are from industry and federal laboratories; half are from academic institutions. (See attached list of current Council members.)

#### **Specific comments:**

### CICS Development of the Research Roadmap before Research Funding is Committed

As noted by many others in their opening comments, a great deal of climate research is currently underway in California that is funded by state, federal and industry sources. At the outset, CICS, as a consortium of research institutions, should conduct a full inventory of such research efforts including, but not limited to, focus of efforts, and sources, levels and periods of funding determining the priorities of the CICS. In order to integrate all of the relevant research, CCST recommends that CICS include in the roadmap planning a steering committee of representatives of the relevant agencies and extant programs (e.g.

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PIER) along with the research community from industry, academia and federal labs. This steering committee would ensure that the roadmap is developed with consideration of the inventory of existing basic and applied research programs, so that future research will be complementary and reinforce those other efforts. CCST recommends that this roadmap be subject to a timely independent review.

### **Independent Biennial Review**

CCST suggests that a neutral, non-stakeholder entity be asked to create an independent review panel to assess progress of the CICS on a biennial basis. CCST could conduct this evaluation, which would include a review of the public value of research programs, program planning and management practices, state energy policies, administrative and organizational issues, research review processes, and advisory committee functions.

A precedent exists for such a review process. Public Resources Code Section 25620.9(a) directed that an Independent Review Panel (IRP) be established to conduct a comprehensive evaluation of the Public Interest Energy Research (PIER) program. The IRP for the PIER program was convened and the review was conducted by CCST. In its review, CCST examined PIER in the context of the state's energy policies, program planning and management practices, research review processes, administrative and organizational issues, and advisory committees' functions.

#### **Governance and Management**

To ensure that all of the state's intellectual talent is brought to bear on the challenging issues of climate change, CICS's governance and management structure should include high-level representation from all of the state's major research institutions – including those in industry and federal laboratories. CCST requests that it be asked by the state to nominate at least two members to serve on the Governing Board. These nominations would be in addition to the officials named by UC and the CPUC and would bring to the Governing Board nominees selected by a third party with no financial interests in the

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outcome. As noted in comments submitted, CCST also believes that the governance structure should include agency representatives with an investment in related research areas.

We again commend the CPUC for taking this bold step. We offer our services to help create a climate solutions research program that reflects the full range of research and educational expertise the state has to offer. It is imperative that such a research program include rigorous program development that benefits from independent review by experts both within and outside of UC -- at the outset and biennially. We believe that CCST, an organization established to advise the state on critical issues of science and technology, should be engaged in this effort to help ensure that the program developed is consistent with the overall mission goals and that public investments will leverage the best possible outcomes.

Respectfully Submitted,

California Council on Science and Technology

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By:

Lawrence Papay

Council Chair

Charles Kennel

Susan Hackwood Council Chair-elect **Executive Director** 

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### **VERIFICATION**

I, Susan Hackwood, Executive Director of the California Council on Science and Technology ("CCST"), am authorized to make this verification on behalf of the CCST. The statements in the foregoing document are true to the best of my knowledge, except for those matters that are stated on information and belief, and as to those matters I believe them to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Executed this 19<sup>th</sup> day of November, at Riverside, California.

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### **CERTIFICATE OF SERVICE**

I hereby certify that on November 19, 2007, I served on all parties on the service list for R.07-09-008, by email, true copies of the original of the following document which is attached hereto:

REPLY COMMENTS OF THE CALIFORNIA COUNCIL ON SCIENCE AND TECHNOLOGY (CCST) ON THE RULEMAKING TO CONSIDER ESTABLISHING THE CALIFORNIA INSTITUTE FOR CLIMATE SOLUTIONS

The e-mail addresses to which the document was sent are shown on an attachment.

Dated: November 19<sup>th</sup>, 2007 at Riverside, CA.

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